



THE
HUMPHREYS
GROUP

FORM ADV PART 2B* BROCHURE SUPPLEMENT

Professional Backgrounds of
Diane S. Bourdo, CFP®
Alexis W. Olian, CFP®
Hallie Kraus, CFP®

February 2024

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San Francisco, California 94104

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*This brochure supplement provides information about the qualifications of The Humphreys Group, LLC's investment advisory personnel. This is a supplement to The Humphreys Group's brochure which you should have received previously. Please contact the Firm's Principal and Chief Compliance Officer, Diane S. Bourdo if you have not received the brochure or if you have any questions about the contents of this supplement. Additional information about The Humphreys Group is available on the SEC's website at www.adviserinfo.sec.gov.

Each member of The Humphreys Group's professional staff is evaluated on the basis of his or her education and work experience. As general standards, an undergraduate degree and

prior related business experience are required. Graduate work and/or specialized business or technical skills are preferred.

DIANE S. BOURDO, CFP®

Born - 1960

Item 2 - Educational Background and Business Experience

Education

BA, 1982, University of Wisconsin, Madison, WI

MBA, 1991, University of California, Berkeley, Haas Graduate School of Business

Professional Designations

Certified Financial Planner™ ("CFP®")*, designation conferred, 1997

Business Background

1989 – Present The Humphreys Group, LLC – President and Chief Compliance Officer

Professional and Community Activities

Diane is a member of the Financial Planning Association and is active with the Pro Bono Committee. She lives in San Francisco with her husband. In her free time she enjoys hiking and other outdoor adventures, travel, reading and cooking.

Item 3 – Disciplinary Information

Diane has no legal or disciplinary events or disclosures.

Item 4 – Other Business Activities

Diane is not involved in any outside business activities.

Item 5 – Additional Compensation

Other than as disclosed in Item 12 of the Firm's Form ADV Part 2A related to unsolicited discounts on software or brokerage services from client custodians, neither the Firm nor any of its employees receive any economic benefit from any non-client for the provision of investment advisory services. Employees are not paid "sales awards" or other prizes for referring clients to the Firm.

Item 6 – Supervision

All Firm personnel are supervised by Principal and Chief Compliance Officer, Diane S. Bourdo, whose supervision is ongoing and includes account reviews, trade supervision, annual compliance reviews including the forensic testing of Firm systems, staff meetings and employee reviews.

ALEXIS W. OLIAN, CFP®

Born - 1963

Item 2 - Educational Background and Business Experience

Education

BS, 1986, University of California, Davis

MNA, 1995, University of San Francisco

Graduate Certificate, Personal Financial Planning, Golden Gate University, 2008

Professional Designations

Certified Financial Planner™ ("CFP®")*, designation conferred, 2014

Business Background

2013 - Present The Humphreys Group, LLC – Director of Financial Planning

2008 – 2013 Barbara Stewart Gault, Sole Proprietor - Financial Para-Planner

Professional and Community Activities

Alexis is a member of the Financial Planning Association of San Francisco and provides pro bono services for Shalom Bayit's domestic violence prevention program. She lives in San Francisco with her husband. On weekends she can be found at Fort Funston with her canine companion, Marco, or paddling with her outrigger canoe club.

Item 3 – Disciplinary Information

Lexi has no legal or disciplinary events or disclosures.

Item 4 – Other Business Activities

Lexi is not involved in any outside business activities.

Item 5 – Additional Compensation

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HALLIE KRAUS, CFP®

Born - 1990

Item 2 - Educational Background and Business Experience

Education

Certificate in Personal Financial Planning, 2019, University of California, Berkeley Extension
B.A., 2012, University of California, Santa Cruz

Professional Designations

Certified Financial Planner™ ("CFP®")*, designation conferred December 2019
Chartered Retirement Planning Counselor ("CRPC®"), January 2017
Series 66, April 2015

Business Background

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|----------------|--|
| 2020 – Present | The Humphreys Group, LLC – Financial Planner |
| 2017 –2019 | The Humphreys Group – Financial Planning Associate |
| 2014 – 2017 | Bank of America Merrill Lynch – Bank Team Financial Advisor |
| 2013 –2014 | Consumer Credit Counseling Service of San Francisco – Certified Credit Counselor |
| 2012 –2013 | Consumer Credit Counseling Service of San Francisco – Outreach Specialist |

Professional and Community Activities

Hallie is currently a member of the Financial Planning Association and was formerly a member of the UC Berkeley Extension Student Advisory Board. She provides pro bono services for Shalom Bayit's domestic violence prevention program. Hallie lives in the East Bay with her husband. On weekends, she enjoys reading and exploring local trails with her dog, Sadie.

Item 3 – Disciplinary Information

Hallie has no legal or disciplinary events or disclosures.

Item 4 – Other Business Activities

Hallie is not involved in any outside business activities.

Item 5 – Additional Compensation

Other than as disclosed in Item 12 of the Firm's Form ADV Part 2A related to unsolicited discounts on software or brokerage services from client custodians, neither the Firm nor any

of its employees receive any economic benefit from any non-client for the provision of investment advisory services. Employees are not paid “sales awards” or other prizes for referring clients to the Firm.

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* The Certified Financial Planner™ (“CFP®”) designation requires the holder to meet education, examination, experience and ethics requirements, and pay an ongoing certification fee. A bachelor's degree (or higher), or its equivalent in any discipline, from an accredited college or university is required. Students are required to complete course training in nine core financial topic areas, sit for a 10-hour CFP Board Certification Examination, acquire three years full-time or equivalent (2,000 hours per year) part-time work experience in the financial planning field and undergo an extensive background check — including an ethics, character and criminal check. To maintain the CFP certification, CFP® professionals must complete 30 hours of continuing education (CE) accepted by CFP Board (including completion of 2 hours of CFP Board approved Ethics CE).