



THE
HUMPHREYS
GROUP

FORM ADV PART 2B*
BROCHURE SUPPLEMENT

Professional Backgrounds of
Diane S. Bourdo, CFP®
Alexis W. Olian, CFP®
Hallie Kraus, CFP®

March 2020

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*This brochure supplement provides information about the qualifications of The Humphreys Group, LLC's investment advisory personnel. This is a supplement to The Humphreys Group's brochure which you should have received previously. Please contact the Firm's Principal and Chief Compliance Officer, Diane S. Bourdo if you have not received the brochure or if you have any questions about the contents of this supplement. Additional information about The Humphreys Group is available on the SEC's website at www.adviserinfo.sec.gov.

Each member of The Humphreys Group's professional staff is evaluated on the basis of his or her education and work experience. As general standards, an undergraduate degree and prior related business experience are required. Graduate work and/or specialized business or technical skills are preferred.

DIANE S. BOURDO, CFP®

Born - 1960

Item 2 - Educational Background and Business Experience

Education

BA, 1982, University of Wisconsin, Madison, WI

MBA, 1991, University of California, Berkeley, Haas Graduate School of Business

Professional Designations

Certified Financial Planner™ (“CFP®”)*, designation conferred, 1997

Business Background

1989 – Present The Humphreys Group, LLC – President and Chief Compliance Officer

Professional and Community Activities

Diane is a member of the Financial Planning Association and is active with the Pro Bono Committee. She is also a member of the Financial Women of San Francisco. She lives in San Francisco with her husband and adult daughter. In her free time she enjoys outdoor adventures, travel, reading and cooking.

Item 3 – Disciplinary Information

Diane has no legal or disciplinary events or disclosures.

Item 4 – Other Business Activities

Diane is not involved in any outside business activities.

Item 5 – Additional Compensation

Other than as disclosed in Item 12 of the Firm's Form ADV Part 2A related to unsolicited discounts on software or brokerage services from client custodians, neither the Firm nor any of its employees receive any economic benefit from any non-client for the provision of investment advisory services. Employees are not paid “sales awards” or other prizes for referring clients to the Firm.

Item 6 – Supervision

All Firm personnel are supervised by Principal and Chief Compliance Officer, Diane S. Bourdo, whose supervision is ongoing and includes account reviews, trade supervision, annual compliance reviews including the forensic testing of Firm systems, staff meetings and employee reviews.

ALEXIS W. OLIAN, CFP®

Born - 1963

Item 2 - Educational Background and Business Experience

Education

BS, 1986, University of California, Davis

MNA, 1995, University of San Francisco

Graduate Certificate, Personal Financial Planning, Golden Gate University, 2008

Professional Designations

Certified Financial Planner™ (“CFP®”)*, designation conferred, 2014

Business Background

2013 - Present The Humphreys Group, LLC – Director of Financial Planning

2008 – 2013 Barbara Stewart Gault, Sole Proprietor - Financial Para-Planner

Professional and Community Activities

Alexis is a member of the Financial Planning Association of San Francisco. She lives in San Francisco with her husband and teenage son. On weekends she can be found exploring the neighborhoods of San Francisco or coaxing vegetables to grow in her 4' x 8' community garden.

Item 3 – Disciplinary Information

Lexi has no legal or disciplinary events or disclosures.

Item 4 – Other Business Activities

Lexi is not involved in any outside business activities.

Item 5 – Additional Compensation

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Item 6 – Supervision

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HALLIE KRAUS, CFP®

Born - 1990

Item 2 - Educational Background and Business Experience

Education

Certificate in Personal Financial Planning, 2019, University of California, Berkeley Extension

B.A., 2012, University of California, Santa Cruz

Professional Designations

Certified Financial Planner™ ("CFP®")*, designation conferred December 2019

Chartered Retirement Planning Counselor ("CRPC®"), January 2017, Series 66, April 2015

Business Background

2020 – Present The Humphreys Group, LLC – Financial Planner

2017 –2019 The Humphreys Group – Financial Planning Associate

2014 – 2017 Bank of America Merrill Lynch – Bank Team Financial Advisor

2013 –2014 Consumer Credit Counseling Service of San Francisco – Certified Credit Counselor

2012 –2013 Consumer Credit Counseling Service of San Francisco – Outreach Specialist

Professional and Community Activities

Hallie is currently a member of the Financial Planning Association and was formerly a member of the UC Berkeley Extension Student Advisory Board. She is a recipient of the UC Berkeley Extension Personal Financial Planning Program Scholarship. Hallie lives in San Francisco with her husband. On weekends, they enjoy exploring local brew pubs and catching up with friends.

Item 3 – Disciplinary Information

Hallie has no legal or disciplinary events or disclosures.

Item 4 – Other Business Activities

Hallie is not involved in any outside business activities.

Item 5 – Additional Compensation

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* The Certified Financial Planner™ ("CFP®") designation requires the holder to meet education, examination, experience and ethics requirements, and pay an ongoing certification fee. A bachelor's degree (or higher), or its equivalent in any discipline, from an accredited college or university is required. Students are required to complete course training in nine core financial topic areas, sit for a 10 hour CFP Board Certification Examination, acquire three years full-time or equivalent (2,000 hours per year) part-time work experience in the financial planning field and undergo an extensive background check — including an ethics, character and criminal check. To maintain the CFP certification, CFP® professionals must complete 30 hours of continuing education (CE) accepted by CFP Board (including completion of 2 hours of CFP Board approved Ethics CE).

